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The Regulation of Financial Planning in Australia

2019-08-20

this book investigates the legitimacy of the current australian financial services licensee authorised representative afsl ar licensing model as specified in the commonwealth corporations act 2001 the book rectifies the deficiency in scholarly attention to this matter by developing a new conceptualised framework for the financial planning discipline it takes into account theories in agency legislation legitimacy and the independent individual regulatory regimes in other professions thereafter integrating this framework with the financial planning theory to examine the legitimacy or what was found to be the illegitimacy of licensing advisers via multiple third party conflicted commercially oriented licensees this book makes a very useful reference to understanding financial planning licencing model in australia

Financial Planning, Google eBook

2012-02-02

financial planning has been thoroughly revised to reflect the legislative and regulatory changes that affect the australian financial planning industry this new edition considers a number of reforms introduced as a consequence of the global financial crisis and the henry review on taxation reform the text continues to present a comprehensive introduction to financial planning decisions services and products risk management and the importance of long term strategic financial planning and life long management the text is the new edition of what was previously titled personal finance which has been a market leading textbook in the area of financial planning for 10 years as more and more australians look to financial planners for information and guidance on investment opportunities and short term and long term financial planning goals this new edition reflects the financial planning industry need to ensure that its members are accredited and comply with industry recognised professional and ethical skills

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